# **Caliper: Interference Estimator for Multi-tenant Environments Sharing Architectural Resources**

RAM SRIVATSA KANNAN and MICHAEL LAURENZANO, University of Michigan, Ann Arbor, USA JEONGSEOB AHN, Ajou University, South Korea JASON MARS and LINGJIA TANG, University of Michigan, Ann Arbor, USA

We introduce **Caliper**, a technique for accurately estimating performance interference occurring in shared servers. Caliper overcomes the limitations of prior approaches by leveraging a micro-experiment-based technique. In contrast to state-of-the-art approaches that focus on periodically pausing co-running applications to estimate slowdown, Caliper utilizes a strategic phase-triggered technique to capture interference due to co-location. This enables Caliper to orchestrate an accurate and low-overhead interference estimation technique that can be readily deployed in existing production systems. We evaluate Caliper for a broad spectrum of workload scenarios, demonstrating its ability to seamlessly support up to 16 applications running simultaneously and outperform the state-of-the-art approaches.

CCS Concepts: • Computer Architecture → Datacenter Systems Design; Datacenter contention;

Additional Key Words and Phrases: Datacenter design, cache contention, DRAM bandwidth contention, fairness, mutii-core, interference, performance, system software metrics

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#### 1 INTRODUCTION

Improving resource utilization in modern multi-core systems has been identified as a critical design goal by large-scale datacenter designers [14]. The motivating factor leading to this trend is the underutilization of multi-core processors due to overprovisioning. Towards realizing this objective, co-locating multiple batch applications on a single server has proven to be beneficial [19, 33, 34,

New paper—not an extension of a conference paper.

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Authors' addresses: R. S. Kannan, M. Laurenzano, J. Mars, and L. Tang, University of Michigan, Ann Arbor, 2260 Hayward Street, Ann Arbor, Michigan, 48105; emails: {ramsri, mlaurenz, profinars, lingjia}@umich.edu; J. Ahn (corresponding author), Ajou University, 206 Worldcup-ro, Yeongton-gu Suwon, South Korea 16499; email: jsahn@ajou.ac.kr.

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40, 44, 61–63]. In cloud computing, system virtualization techniques have been instrumental in providing performance isolation while co-locating multiple applications present in under-utilized servers.

Although the commodity hypervisors such as Xen and KVM have been achieving performance isolation at some level through strict CPU reservations, static partitioning of memory/disk space, and network bandwidth [32, 51], it is inevitable to avoid shared resource contentions, especially at micro-architectural resources. These performance-critical resources including last-level cache, memory controller, and main memory bandwidth cause the slowdown of applications in co-located environments. Moreover, the magnitude at which applications are slowed down is highly dependent on the nature of the co-running applications and the availability of shared resources [19, 40].

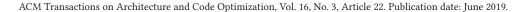
Under such circumstances, it is essential to have the ability to accurately estimate the slow-down of applications caused due to co-location. Such slowdown estimates could enable resource allocation of shared resources to each application in a slowdown-aware manner motivated towards providing strong Quality-of-Service (QoS) guarantees. Also, in Infrastructure-as-a-Service (IaaS) clouds, such a mechanism could be used to bill its customers appropriately based on the amount of slowdown that their applications have been subjected to by the co-running applications [16, 58].

There have been many efforts that try to estimate slowdown of applications at runtime [16, 17, 23, 25, 40, 42, 49, 58, 61, 68]. Prior software approaches [16, 25, 61, 68] utilize an online runtime system that periodically pauses all the applications except one for a short time, thus allowing the running application to monopolize the computing resources on the system during those pause periods. The performance of the running application during such pause periods is used to determine slowdown.

A few other hardware-enabled approaches [22, 23, 42, 58] designed to estimate slowdown are based on a methodology that aims at modeling interference bottom-up as an aggregate of interference across multiple processor subsystems. However, this may prove to be prohibitively difficult as core counts increase and processor architectures accrue performance-improvement mechanisms that are ever larger in number and complexity. These approaches leave several challenges that pose barriers to its adoption:

- (1) **Low accuracy:** The most recent state-of-the-art technique addressing this problem [16] **neglects the notion of application phases** and pauses co-running applications periodically at millisecond granularity. This methodology shows estimation errors of up to 40%, leaving significant room for improvement in accuracy.
- (2) **High overhead:** It has been reported that datacenter providers tolerate no more than 1% to 2% degradation in performance to support dynamic monitoring approaches in production [55]. However, the execution time overhead of the state-of-the-art software interference estimation technique can be as significant as 12% [16].
- (3) **Non-reliable (or less scalable):** The accuracy and the overhead of prior approaches [16, 23, 25, 42, 58] deteriorate as the number of co-running applications increases. As the number of cores on modern servers keeps increasing, deploying a technique that inadequately supports current and future levels of multi-tenancy would not be a preferred choice.
- (4) **Priori knowledge:** Another class of static techniques requires *a priori* [24, 40] knowledge about all workloads and the profiling for each type of workload. This requirement limits the types of workloads for which such a technique can be applied and, more broadly, the kind of datacenters that can adopt the approach (e.g., public clouds).

In this study, we design a mechanism called *micro-experiments*—short-lived measurements of application performance under different conditions—to accurately estimate the interference





experienced by applications due to performance degradation. On top of this mechanism, we introduce Caliper to estimate slowdown of an application at runtime with high accuracy and negligible overhead. A micro-experiment is a period during which the performance of an application is abstracted from the interference incurred by co-runners, using which an accurate estimate of its slowdown can be obtained. One of the most crucial challenges while utilizing micro-experiments for estimating the slowdown is to determine when micro-experiments should be performed. We observe that interference does not change significantly within a single application phase. Thus, the problem of identifying when to perform a micro-experiment boils down to identifying phases of applications at runtime while executing with co-runners. Triggering a micro-experiment on the application at each of its phases once allows the runtime to estimate co-runner interference with negligible overheads accurately.

To enable Caliper, one of the most significant challenges is to accurately, efficiently, and continuously detect not only phases within applications but also phases in the application's co-runners. In this article, we design a solution to identify all such phases by leveraging performance monitoring units (PMUs). Since each application has different sensitivities towards architectural resources, we identify the right set of PMU types that can differentiate phase changes across a wide variety of unknown applications. We perform cross-validation on these selected PMU types on a spectrum of application workloads to demonstrate generality. The contributions of this article are as follows:

- **Phase-aware micro-experiments:** We introduce a novel *phase-aware interference estimation* technique using *micro-experiments* that is accurate, lightweight, and can efficiently support multi-tenancy that can be deployed in clouds or datacenter environments.
- **Resilient phase detection:** We design a novel methodology called PMU scoreboarding that extracts the representative set of performance monitoring units for *detecting phase changes at multi-tenant execution scenarios*. Without *a priori* knowledge about the workloads, the extracted PMU types are effective in terms of detecting phase changes.
- Real-world scenarios: We evaluate our runtime system on real systems for a variety of applications including SPEC CPU2006 [29], NAS Parallel Benchmarks [12], SiriusSuite [28], and DjiNN&Tonic Suite [27]. Moreover, we evaluate the effectiveness of the proposed runtime as we increase the number of executing application contexts. In addition to that, we have also evaluated our technique on different microarchitectural subsystems to demonstrate its platform-independent nature.

With Caliper, we are able to estimate the slowdown at multi-tenant execution scenarios accurately with a mean absolute error of 4% and negligible overhead of less than 1% for a broad spectrum of workload scenarios when executing 16 applications. Compared to state-of-the-art interference estimation techniques [16], our technique shows up to  $5\times$  more accuracy with  $3\times$  less overhead, making it readily deployable in current and future datacenters.

The rest of this article is organized as follows: Section 2 describes the background and discusses the limitations of the prior study. Section 3 introduces the proposed design, Caliper. To achieve the design goals, Section 4 defines phase boundaries, and Section 5 presents our technique identifying phase changes in co-location. Section 6 presents the experimental results. Section 7 describes the related work, and Section 8 concludes the article.

#### 2 BACKGROUND

In this section, we introduce key challenges that are present while co-locating multiple batch applications in multi-core systems. We then illustrate the state-of-the-art techniques that try to address these challenges and their limitations.



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# 2.1 Multi-tenant Execution of Batch Applications

Modern computer systems host a wide range of applications of varying nature. These applications are broadly classified into two types: (1) batch applications and (2) user-facing applications. Applications that are of batch type are throughput-oriented and not user-facing. This type of application represents today's workloads that execute in datacenters and clouds. Consolidation of such applications to increase the resource utilization of the system is a common trend [9, 11]. However, another class of applications such as memcached and web search is latency-critical and hence is required to meet strict Quality of Service (QoS) guarantees. As a result, the consolidation of such latency-critical applications with other applications is generally avoided, as co-location will affect the latency of these applications significantly [2, 41, 70]. These applications are typically housed in private datacenters or run on dedicated machines that guarantee Service Level Agreements (SLAs).

Although the consolidation of batch applications onto a single server increases the resource utilization, it has a direct impact on individual application performance. State-of-the-art virtualization technologies try to provide performance isolation at some levels. Current hypervisors perform:

- (1) Strict CPU reservations by disallowing sharing of CPU cores among different applications [13, 35].
- (2) Statically partitioning memory and disk space among different applications [13, 35].
- (3) Static partitioning of I/O and network bandwidth proportionally among applications using SR-IOV [32, 51].

However, applications are still slowed down mainly due to contention at the last-level cache (LLC) and main memory bandwidth. The resource contention at the LLC and main memory bandwidth increases the overall memory access latency, significantly slowing down the execution of different applications. Hence, it becomes critical to identify and gauge the slowdown applications are subjected to when they are housed at multi-tenant execution scenarios. As a major step towards solving this problem, prior approaches try to precisely estimate the amount of slowdown each application is subjected to in multi-tenant execution scenarios [16, 58].

# 2.2 Limitations of the State-of-the-art Approach

Broadly, state-of-the-art approaches that try to estimate slowdown are classified into two different categories: **static approaches** that require *a priori* knowledge about the applications executing; and **dynamic approaches**, which can perform slowdown estimation for unknown applications. In this section, we enumerate the limitations of the state-of-the-art static and dynamic approaches that try to solve this problem.

- 2.2.1 Static Approaches. Prior static approaches such as Bubble-Up [40] and Cuanta [24] have shown to be effective at generating precise performance predictions at co-located execution scenarios with high accuracy. However, there exist several primary limitations of the work, including requiring a priori knowledge of application behavior and the lack of adaptability to changes in application dynamic behaviors. These limitations restrict the possibility of deploying such static approaches for a variety of datacenter infrastructures that encounter unknown applications on a regular basis (e.g., private datacenters and public clouds).
- 2.2.2 Dynamic Approaches. Another class of prior works, which does not require a priori knowledge, has attempted to estimate slowdown of applications due to shared cache capacity and/ or memory bandwidth interference [16, 58, 68]. The most recent prior work by Breslow et al. [16] is software-based and utilizes a technique called *POPPA*. The main motivation behind POPPA



	Bubble-Up [40]	POPPA [16]	ASM [58]	FST [23]	Caliper
Low overhead	✓				$\checkmark$
No additional hardware	✓	$\checkmark$			$\checkmark$
No offline profile			$\checkmark$	$\checkmark$	$\checkmark$
Estimation error	7%	45%	20%	30%	4%

Table 1. Comparison Between Caliper and Other Interference Estimation Techniques

towards estimating slowdown is based on modeling interference as a ratio of solo and co-located execution performance. While co-located application performance can be directly measured at runtime, it is challenging to estimate solo performance of an application while running with corunners simultaneously. Towards obtaining an estimate of solo performance, POPPA periodically pauses all co-running applications for a very short time except one application repeatedly at fixed time intervals, as depicted in Figure 1(b). The pause periods allow it to monopolize system resources and (briefly) match its solo performance. POPPA has several limitations, as it suffers severely from low accuracy and high overheads especially as the number of application contexts increase.

However, there is a class of literature that has attempted to tackle the problem of estimating slowdown at runtime by utilizing novel hardware to track application interference among individual processor subsystems, which are taken together to model the overall interference of the applications [22, 23, 42, 58]. The most recent work by Subramanian et al. presents Application Slowdown Model (ASM). This work is based on the hypothesis that performance of each application is proportional to the rate at which it accesses the shared cache. Hence, to identify the shared cache access rate, it maintains an auxiliary tag store for each application, which tracks the state of the cache in a situation where the application would have been running alone. Every application that is co-located within the system utilizes this specialized hardware periodically in a roundrobin fashion to collect its corresponding shared cache access rates, which in turn is utilized by ASM to estimate its corresponding slowdown. One of the key limitations of ASM is that it requires additional hardware support, precluding it from being used as a solution on existing commodity servers.

The combination of the poor accuracy, overhead, inadequate support for multi-tenancy, deployability, and requirement of additional hardware support significantly limits the applicability of the prior approaches. Towards satisfying these shortcomings, we design a technique that can be readily deployed in production-grade datacenters. **Our technique can accurately estimate slowdown in execution scenarios that encounter a wide class of unknown applications**, unlike prior static approaches [24, 40] that require *a priori* knowledge of the executing applications. Table 1 presents a comparison between Caliper and several other interference estimation techniques.

Later, in Section 6.3, we experimentally evaluate each of these scenarios to illustrate the short-comings of the prior dynamic approaches [16, 58]. Then, we show how our proposed phase-aware interference estimation technique is able to estimate slowdown accurately with negligible overhead even when the number of simultaneously executing applications is up to 16 contexts, as exists in modern datacenters.

#### 3 OVERVIEW OF CALIPER

In this section, we describe Caliper, a runtime system for estimating interference at multi-tenant execution environments.



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**Goal.** The design goal of Caliper is to accurately estimate the slowdown of an application at runtime. To achieve this, we need to gauge the performance of the application running with corunners,  $Perf_{(co-run)}$ , as well as the performance of the application when it is running alone,  $Perf_{(solo-run)}$  during runtime. Using these quantities, the slowdown of the applications can be easily estimated by the following Equation (1).

$$slowdown = Perf_{(co-run)}/Perf_{(solo-run)}.$$
 (1)

We have utilized Instructions Per Cycle (IPC) as the metric to quantify performance.  $Perf_{(co-run)}$  from Equation (1) is the IPC of the application during co-location and is directly measured when the application is running along with the co-runners during runtime.  $Perf_{(solo-run)}$  is the solo execution performance of the application. IPC can be measured easily and cheaply on commodity processors. A wide body of prior interference estimation techniques utilizes IPC as their primary metric to quantify performance [16, 25, 58]. For even latency-sensitive applications, a prior study from Google leveraged the CPI (Cycles Per Instructions) metric as a performance indicator [69]. Although the metric may not be highly accurate for some applications, it is used to only guide the performance estimation.

**Approach.** The primary objective of this study is to be able to precisely estimate  $Perf_{(solo-run)}$  even during the presence of co-runners at runtime. To achieve this goal, we introduce a software technique called micro-experiment. **A micro-experiment is a short-lived runtime period for a few milliseconds during which an experiment is run to collect a measurement of interest.** Our runtime system performs micro-experiments by opportunistically pausing the execution of an application's co-runners for a small amount of time so the resource contention is eliminated temporarily in the system. The result of such a micro-experiment represents an accurate estimate of the application's solo execution performance, and this estimation along with  $Perf_{(co-run)}$  (direct performance measurement of an application when it is run together with other applications) can be used as a basis to obtain the slowdown at runtime.

**Challenges.** To keep the cost of the estimation process low, we need to address a key challenge. A recent prior study that periodically pauses co-running applications to estimate the performance degradation has been shown to cause non-negligible overheads [16]. This is due to the following reasons:

- (1) Frequent pausing can disturb forward progress of the applications due to the execution stalls
- (2) Pausing an application evicts its entries present in hardware caches, TLBs, BTBs, and so on. This exacerbates the performance overhead problem.
- (3) As the number of cores in a server increases, more applications (or VMs) can be housed in servers. Under such circumstances, periodically pausing every co-running application will increase the effective time for which individual applications is paused. Hence, a naive technique like periodic pausing becomes an unsuitable solution for operation at scale.

Thus, it is essential to identify when micro-experiments need to be triggered. In this study, we overcome this challenge by utilizing phase boundaries as the triggers for conducting micro-experiments. The key observations that led towards utilizing phase boundaries as triggers are as follows: First, the execution behavior of applications does not drastically change within a single phase. This means that we do not need to estimate slowdown by performing micro-experiments within a steady phase. Second, we observe that the number of phase changes is not large in most applications, as also observed by previous works [21, 26, 56]. The majority of applications have very few phases spanning an execution time that ranges from a few minutes up to half an hour [46].



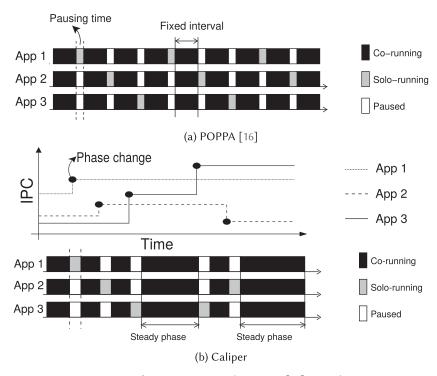


Fig. 1. Interference estimation by POPPA [16] vs. Caliper.

It gives us an opportunity to opportunistically conduct our micro-experiments technique so we are able to avoid excessive pauses for the common case where applications have very few phase changes. Keeping these observations in mind, we utilize a continuous monitoring system that performs online phase detection, identifying phase changes for applications during runtime.

Figure 1(b) illustrates how Caliper estimates the slowdown by using micro-experiments. Whenever there is a phase change, we perform a micro-experiment by pausing all the co-running applications, giving an opportunity for the un-paused applications to eliminate the resource contention. Then, we are able to measure  $Perf_{(solo-run)}$  for the application without the resource contentions. However, the most recent work that tries to estimate slowdown during runtime [16] pauses the co-running applications in a periodic fashion, as shown in Figure 1(a). We have conducted micro-experiments using 75 milliseconds as a pause period. The parameter is empirically determined in our testbed to monopolize architectural resources during that time. Section 6.2 talks in detail about the choice of our pause period. As a result, we can estimate the slowdown with negligible overheads of less than 0.5% for most of the situations. We will discuss the parameter sensitivity in the evaluation section.

While performing micro-experiments, our runtime estimates  $Perf_{(solo-run)}$  of an application at every phase boundary. We aggregate the estimation of slowdown at every phase of the application to calculate the slowdown for the entire execution of the application, as shown by Equation (2):

$$Perf_{(solo-run)} = \frac{IPC_{(1)} \times T_{(1)} + IPC_{(2)} \times T_{(2)} + \dots + IPC_{(n)} \times T_{(n)}}{T_1 + T_2 + \dots + T_n},$$
(2)

where,  $Perf_{(solo-run)}$  is the estimated IPC of solo execution of an application,  $IPC_{(i)}$  is estimated IPC of solo execution of the application during phase i,  $T_{(i)}$  is the time for which the application remains in phase i, and n is the total number of phases in the application.



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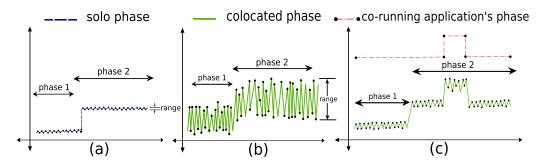


Fig. 2. (a) Solo execution of application. (b) Fluctuations in PMU type during co-location. (c) Co-phase interference during co-location.

#### 4 APPLICATION PHASE BEHAVIORS

In this section, we describe phase behaviors of applications in multi-tenant execution environments. Traditionally, phases can be defined as intervals within the execution of a program with similar behavior [26]. Phase changes typically manifest themselves as observable changes in execution behavior of applications. Although there have been many efforts to detect phase changes of a single application via performance monitoring units (PMUs) [21, 26, 31, 56], it is challenging to precisely identify phase boundaries in multi-tenant environments. This is because the PMU-based measurements of individual applications in multi-tenant environments are affected by the behavior of co-running applications. Prior techniques are unreliable when multiple applications are simultaneously running and hence cannot be directly applicable to our runtime system.

#### 4.1 Two Classes of Phase Changes

As a first step towards detecting phase changes in co-located environments, we taxonomize phases detected by PMUs (e.g., as shifts in an application's CPI) as falling into one of two classes: *endogenous* phase changes that result from an application's innate behavior, and *exogenous* phase changes that result from co-running applications. Thus, the goal of our runtime system is to accurately identify endogenous phase changes while minimizing the detection of exogenous phase changes. This is critical, as exogenous phase changes are false positives incurring unnecessary micro-experiments. It results in increasing the overhead of our runtime system. In the next subsection, we investigate the causes of exogenous phase changes in further detail.

## 4.2 Characteristics of Exogenous Phase Changes

To study the characteristics of exogenous phase changes, we observe PMUs when an application is executing along with its co-runners. Through these observations, we identify two critical reasons contributing to exogenous phase changes.

**Fluctuation.** PMU-based measurements of a single phase are a set of discrete, time-series-based, numerical quantities that lie between a range possessing minuscule variation, as shown in Figure 2(a). However, in the presence of co-runners, PMU-based measurements belonging to a single phase of the same application fluctuate a lot. In such scenarios, some of the PMU-based measurements lie in the range of a different phase, making it challenging to determine phase boundaries. Figure 2(a) represents the execution of an application when it is running alone. Figure 2(b) represents the execution of an application when it is executing along with a co-runner. From Figure 2(b), we can clearly see that some PMU measurements from phase 1 lie in the range of the PMU measurements from phase 2 and vice versa. This makes it challenging to identify phase



boundaries. We have observed this phenomenon especially with PMU measurements corresponding to micro-architectural entities like last-level cache misses that are shared by multiple cores.

**Co-phase interference.** Phase changes in one application can cause changes to other co-running applications. We call this phenomenon co-phase interference. Figure 2(c) again represents the execution of an application when it is executing along with a different co-runner. From Figure 2(c), we can clearly see that the change in PMU measurements corresponding to co-phase interference is difficult to be distinguished from endogenous phase changes.

Our goal here is to build a robust **phase-aware online runtime system** that detects endogenous phase changes while minimizing the detection of exogenous phase changes. This is because triggering micro-experiments during exogenous phase changes is undesired, as they will result in increasing the performance overhead due to pausing of co-runners. In some situations when interference is strong enough, our phase-aware online runtime system triggers phase changes even for exogenous phase changes. This could potentially increase the overhead of our system by triggering frequent micro-experiments. However, the occurrence of such events is very infrequent, which is evident from the negligible overhead incurred by our system, as shown in Section 6.2.

## 5 IDENTIFYING PHASE CHANGES DURING CO-LOCATION

The primary goal of Caliper's phase-detection approach is to detect endogenous phases (true positives) while ignoring exogenous phases (false positives) at runtime. For this purpose, we propose a PMU-based mechanism that identifies the best PMU that can be utilized for phase detection. Identifying the best PMU types is an offline step that is undertaken once. We then utilize the identified PMUs to detect phase changes during runtime. This is an online step that utilizes a continuous monitoring infrastructure.

For the offline step, we first try to identify the representative PMU types that accurately detect every single endogenous phase change while neglecting exogenous phases. In addition to that, the extracted PMU types should be generic. In other words, it should be able to detect endogenous phase changes even for an unknown application whose phase behavior has not been witnessed before. For this purpose, we first assess each PMU type to detect phase changes for a training set of applications. We then **cross-validate** to examine its ability to detect endogenous phases and ignore exogenous phases for unknown applications. This determines the generality of each PMU type. Based on the ability of each PMU type, we choose the best PMU type.

The initial step in this offline process is to carefully choose our training set of applications to cover a wide range of contentiousness, sensitivity, and phase-changing attributes [60]. The list of training applications is shown in the first column of Table 2. We use astar as our training corunner, which is cross-validated in our evaluation under Section 6. The application astar from SPEC CPU2006 is known to be both contentious and to have numerous and rapidly changing phases [60], which can train our model to be resistant against both fluctuations as well as co-phase interference. With these pointers, we undertake the following three-step approach to extract the set of PMU types that can be utilized for phase detection:

(1) Comparing PMU measurements during co-run with solo execution. We execute the training set of applications alone to obtain PMU measurements during solo execution. We manually annotate the endogenous phases present in each of the training set of applications.

We then collect PMU measurements for each application present in the training set during colocation. By using the PMU measurements during co-location, we verify for each PMU type its ability to detect endogenous phases by comparing the timestamps corresponding to the actual phase changes that happen during solo execution (from the annotated phases during the previous step). This process is illustrated in Figure 3 as we observe that the measurements for PMU A



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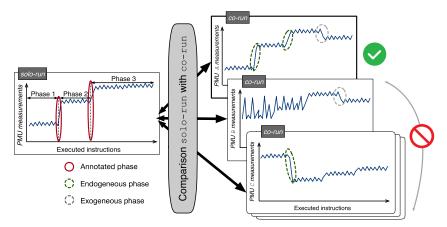


Fig. 3. Comparing phases during co-located execution with phases present in solo execution.

detect the two endogenous phases present, which are confirmed by the annotated solo execution of the application. However, the measurements for PMU B could not detect any endogenous phase changes. It just detects an exogenous phase change that is not desired. With the PMU C, it detects only an endogenous phase chase but misses the other endogenous phase. So, the PMU type A is resilient for the application to detect phase changes in multi-tenant environments. We performed the above process for 18 different PMU types.

- (2) Obtaining PMU scoreboard. We then quantify the effectiveness of each PMU type that was successful in identifying phase changes during the previous step (1). This quantification helps in selecting the best PMU type that detects every possible phase change present in the system. This is done by obtaining the PMU scoreboard, which will be discussed in detail in Section 5.1.
- (3) Selecting the final set of PMU types. From observing the best PMU type for every single application present in the training set, we obtain a single set of PMU type(s). Those PMU types can be utilized to detect phase changes across a diverse class of applications. We describe this step in Section 5.2.

#### 5.1 Obtaining PMU Scoreboard

The motivation of PMU scoreboarding is to quantify the effectiveness of each PMU type. Using this quantification, we obtain a common set of PMUs that can work effectively towards identifying phase changes. Our PMU scoreboarding quantifies PMU types by gauging how steep change in PMU measurements are at each phase boundary. We use a technique called step detection to quantify steepness at each phase boundary. Figure 4 shows the overall flow for obtaining the PMU scoreboard.

Inputs. Application and training dataset of time series PMU measurements during co-location.

**Output.** Threshold of separation ( $\delta$ , described below) quantifying the steepness of a PMU type at phase boundary for an application.

**Objective function.** To quantify the effectiveness of a PMU type, we assess the steepness magnitude expressed by PMU measurements during phase change (higher variation means PMU type distinguishes phase boundaries significantly better).



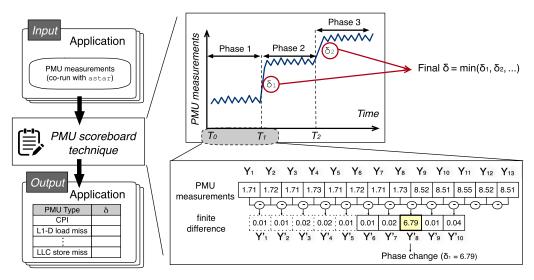


Fig. 4. Overview of PMU scoreboard technique.

**Methodology.** The steepness is obtained by performing the step detection methodology. Step detection scheme is a process of finding abrupt changes in a time series signal. Internally, step detection uses a technique called finite difference method for identifying abrupt changes.

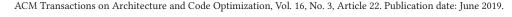
5.1.1 Step Detection by Finite Difference Method. The fundamental hypothesis of the finite difference method for identifying abrupt changes is based on the fact that the absolute difference between subsequent time-series measurements is very high at the exact point where the abrupt changes occur. Phase detection merely translates into identifying the exact point where that particular abrupt change has happened.

Mathematically, the finite difference of a time series signal is the rate of change in the individual elements. We implement the finite difference method by performing pairwise difference of subsequent elements present in the time series using the following formula:

$$Y' = \frac{Y_{j+1} - Y_j}{\Lambda T}$$
  $Y'_j = Y_j \ (for \ 1 < j < n-1),$ 

where  $Y_j$  is the jth points present in the time series, n being the number of points,  $\Delta T$  being the difference between the number of timestamps for time series values. The result highlights the drastic change by showcasing a high value at the point where phase changes. Figure 4 clearly illustrates this where we can see a sharp increase in the PMU measurement at time  $T_1$  (at the point  $Y_9$ ). Its corresponding finite differential value is very high at point  $Y_8$ . Hence, the result of the finite difference method is a set of differentials similar to the Y' points shown in Figure 4.

The subsequent step is to distinguish  $Y_8'$  from the other  $Y_j'$  points. For this purpose, we use a moving window approach. We utilize a window size of 5 based on our observations that a single phase lasts at least for 5s [18, 30, 31, 36, 52]. Our continuous monitoring infrastructure collects measurements once every second, similar to most state-of-the-art approaches [16, 40, 68]. At every point in the moving window, we obtain the mean and standard deviation of the current window. If the latest element in the window is three standard deviations below or away the mean, then we conclude that there is an abrupt change at that particular time [1, 37]. Finally, we obtain all such abrupt changes ( $\delta$ s). The lowest numerical value of each such abrupt change obtained by step detection is returned as the threshold of separation  $\delta$  for a PMU type that is being utilized to

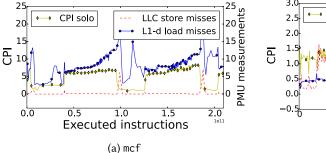




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PMU rank				
1st	2nd	3rd		
CPI	branch	L1-D load miss		
LLC store miss	CPI	L1-D load miss		
L1-D load miss	L1-D load	CPI		
CPI	L1-D load	branch		
L1-D load miss	CPI	LLC load		
LLC store miss	L1-D load	branch		
LLC store miss	LLC load	L1-D load		
L1-D load miss	branch	CPI		
	CPI LLC store miss L1-D load miss CPI L1-D load miss LLC store miss LLC store miss	1st 2nd  CPI branch  LLC store miss CPI  L1-D load miss L1-D load  L1-D load miss CPI  LLC store miss L1-D load  L1-D load miss L1-D load  LLC store miss L1-D load		

Table 2. PMU Types Ordered by Their Effectiveness



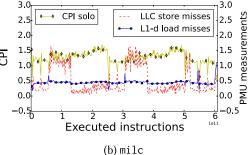


Fig. 5. Phase changes triggered by PMU types when running with astar. Single PMU type is insufficient to detect phase changes.

perform phase detection for an application. For the example given in Figure 4, the value of  $\delta$  is the minimum of the value of  $\delta_1$  and  $\delta_2$ . This delta value becomes useful to rank individual PMU types, which is explained in the next section.

# 5.2 Ranking and Selecting PMU Types

To choose appropriate PMU types for identifying endogenous phase changes, we rank PMU types for every single application using the  $\delta$  value (threshold of separation) obtained from the PMU scoreboarding technique. From that, we choose the PMU type that is capable of detecting endogenous phases across all the applications. In this article, we have shown the top three PMU types in Table 2 for each application that are ranked using the  $\delta$  value.

However, an observation from our training experiments whose results are depicted in Table 2 shows that no single PMU type can detect phase changes across the entire training set of applications. In other words, there can be a situation where an architectural resource that can detect phase changes in an application could fail to detect phase changes completely in a different application. We illustrate this hypothesis based on a real-world example.

Figure 5 shows an example where a single PMU type will not be able to identify phase boundaries across two different applications. Each application requires different PMU types to precisely detect phase changes. In other words, mcf requires *L1-d load misses* while milc requires *LLC store misses*, and vice versa fails. The x-axis indicates the cumulative number of instructions executed as time progresses. The left y-axis featured as yellow (diamond) line shows the CPI of the applications when running alone, and the right y-axis and the blue (circle) and red (dashed) line show the



selected hardware performance monitors for the application when running with three instances of astar as co-runners.

From Figure 5(a), we find out that the PMU type, *L1-d cache load misses*, can effectively detect phase changes of mcf in co-located environments. This is not true for the application milc, as the same PMU type (*L1-d cache load misses*) fails to detect phase changes, as shown in Figure 5(b). These results motivate the need for multiple PMU types to capture phase changes across a variety of applications. To achieve this, we undertake an approach where we observe a set of architectural resources (*CPI, LLC store miss*, and *L1-D load miss*) in contrast to a single resource. Moreover, to avoid missing endogenous phase changes, we use a conservative approach to trigger a *micro-experiment* even if one of the PMU types out of the three detects a phase change. This is because failing to detect endogenous phase changes will significantly reduce the accuracy in estimating IPC of solo execution. However, predicting a non-existent phase change causes only negligible overheads when the occurrence of such mispredictions is low. Additionally, the counters *CPI, LLC store miss*, and *L1-D load miss* cover characteristics of applications that are both sensitive and insensitive towards shared cache contention. Hence, these counters prove to be effective in detecting phase changes even for a wide variety of unknown applications irrespective of the nature of their inputs.

# 5.3 Using Selected PMU Types for Online Phase Detection

Online phase detection during runtime can be performed using the PMUs that we identified during the offline step. Whenever an application is executed, our continuous monitoring runtime infrastructure monitors each PMU type identified during the offline step. It performs online step detection on each PMU type to detect the presence of any significant variation in the PMU measurements.

Caliper can be summarized as a continuous monitoring runtime system that accurately estimates slowdown of an application during runtime. Caliper performs *micro-experiments*, a short-lived experiment to collect a measurement of interest by opportunistically pausing the execution of co-running applications for a small amount of time so that resource contention can be temporarily eliminated in the system. The result of such a micro-experiment represents an accurate estimate of the solo performance for the application in that small period.

Performing *micro-experiments* frequently causes huge execution overheads. Hence, it is essential to identify when micro-experiments need to be triggered. In this study, we overcome this challenge by utilizing phase boundaries as triggers for conducting micro-experiments. This is because the execution behavior of applications does not drastically change within a single phase. Hence, a single micro-experiment for a phase is sufficient to characterize the execution behavior of an application for that phase. Adding to that, the number of phase changes is few in most applications. We utilize Performance Monitoring Units (PMUs) to detect phase changes during runtime. We perform offline analysis on training data to identify the best PMU types. Our online runtime system uses those PMU types during runtime to detect phase changes.

#### 6 EVALUATION

# 6.1 Methodology

**Infrastructure.** We evaluate Caliper on two commodity multicore systems summarized in Table 3. We use Linux KVM as the hypervisor and run applications on virtual machines (VMs) [35], because running virtual machines is a standard way for cloud providers to isolate infrastructure among different customers. Hence, our infrastructural setup consists of co-locating multiple virtual machines (VMs) where each VM belongs to a different user.



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Processor	Microarchitecture	Kernel	Hypervisor
Intel Xeon E5-2630 @2.4GHz	Sandy Bridge-EP	3.8.0	KVM-QEMU v2.0
Intel Xeon F3-1420 @3 7GHz	Haswell	380	KVM-OEMILy2.0

Table 3. Experimental Platforms

Table 4. Benchmark Used in Evaluation

Benchmarks	Class of applications	AWS use cases [5]
Sirius Suite	Machine learning	NTT Docomo (voice recognition) [6]
DjiNN & Tonic	Deep neural network	PIXNET (facial recognition) [8]
SPEC 2006	General purpose & Scientific	Penn State [7]
NPB	Parallel computing workloads	NASA NEX [11]

Each virtual machine has 4GB of main memory and 16GB disk. We use the Ubuntu 12.04 distribution as guest operating system with Linux kernel 3.11.0. There is no change in the execution characteristics of the applications while executing them using virtualized environments. We take advantage of perf tool to collect hardware performance monitors while observing applications.

**Applications.** To evaluate the effectiveness of our technique, we use the benchmarks from *SPEC CPU 2006* [29] with ref inputs, *NPB -NAS Parallel benchmarks* [12]. In addition to that, we execute emerging applications from *SiriusSuite* [28] and *DjiNN&Tonic suite* [27] in batch mode. Sirius suite and DjiNN & Tonic suite contain a class of applications that implement state-of-the-art machine-learning and computer-vision algorithms. It has been a common trend to execute such applications in modern public clouds where multiple applications are oversubscribed in the same server [5–8, 10, 43, 45]. We can clearly see that the benchmark suites that we have utilized to evaluate Caliper are similar to the applications that are being executed in state-of-the-art public cloud-computing environments (e.g., Amazon web services [67]). Table 4 enumerates the benchmark suites, application domain, and the respective use cases for the applications present in these benchmark suites in a public cloud execution environment like Amazon Web Services (AWS). Also, SiriusSuite [28] and DjiNN&Tonic suite [27] have stemmed into a startup that builds conversational artificial intelligence systems for the banking sector [3].

# 6.2 Caliper-Accuracy and Overhead

In this section, we evaluate the efficacy of Caliper. We discuss the accuracy in estimating slow-down by Caliper and its overhead experimentally. Accuracy is calculated by comparing the estimated slowdown from our runtime system with the actual slowdown, a metric that is consistently followed by existing literature that focuses on estimating slowdown [16, 22, 23, 58].

Figure 6 shows the accuracy when Caliper is trying to estimate slowdown when four applications are co-located on a single server. The experimental setup here consists of four broad execution scenarios each based on the type of co-running application that we have taken into consideration represented in the y-axis of Figure 6.

**Single vCPU.** The single-threaded benchmarks from SPEC CPU 2006, SiriusSuite, and Djinn&Tonic are evaluated where for each experiment the observed application executes in a single VM pinned to a single vCPU. The PMU-based measurements are collected from the vCPU at which the application is executing, which directly corresponds to the performance of the application.



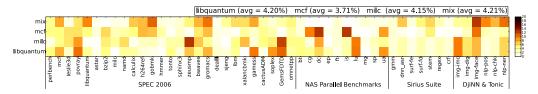


Fig. 6. Accuracy (in percentage error) of SPEC CPU2006, NAS Parallel Benchmarks, Sirius Suite, and Djinn&Tonic suite while estimating slowdown when four applications are co-located.

**Multiple vCPU.** The multi-threaded benchmarks from NPB are evaluated where for each experiment the observed application executes in a single VM pinned to two vCPUs. Here, the performance of the application is the cumulative value of the PMU-based measurements obtained from each vCPU at which the application is executing.

Individual cells in Figure 6 present the difference (error) in the estimated slowdown versus the actual slowdown (light is good and dark is bad). For each experiment, we execute three instances of a single type of co-runner libquantum, mcf, and milc, simultaneously along with one instance of the application on the x-axis. The mix co-runner is a mix of three different co-runners, libquantum, mcf, and milc, alongside the applications on the x-axis. We have used libquantum, mcf, and milc as co-runners from our experiments, and through prior work [60], we found out that these were the top three applications that exhibit significant activities towards shared architectural resources including last-level cache and memory bandwidth. Hence, accurately estimating slowdown during the presence of such co-runners was a big challenge for us [60]. Our experiments to estimate the accuracy of slowdown and runtime overhead take into account all four applications executing in the system. We run each benchmark three times and take the mean to minimize run-to-run variability. We check to see if there is any phase change, every second owing to the observation that phases are consistent for a few seconds. During every phase change, micro-experiments are performed for 75ms to eliminate resource contention during observation. We obtain the value 75ms empirically by performing a sweep for different quantities optimizing for reduced overhead and increased accuracy. Details will be discussed later.

**Accuracy.** From Figure 6, we can see that Caliper shows very low error rates across all the applications even when running with multiple instances of cache-contentious co-runners like libquantum. The average error rate when co-locating with such contentious co-runners is around 4%. We observe that 95% of our applications have errors less than 10% and the worst-case error is 12% in our technique; whereas the worst-case error of prior techniques is up to 60% (details presented in Section 6.3 of evaluation). We also observe that the error in estimating interference using Caliper remains consistent regardless of the nature of the co-runners. This is indicative of two things: (1) accuracy with respect to detecting phases and (2) precision of micro-experiments in detecting per-phase interference. In the next section, we discuss the importance of having a robust phase-detection methodology and its impact on the accuracy of of estimating interference.

**Overhead.** To enable Caliper on production systems, we have to achieve low overheads to minimize the interference on running applications on the servers. Table 5 indicates the overhead that is incurred by Caliper while estimating slowdown. We evaluate the overhead at the same experimental setup under which we had evaluated accuracy. From Table 5, we can clearly see that the overhead of the main observed application, as well as the average overhead of the co-running applications, remains less than 1% in most of the cases. On average, the overhead of Caliper's runtime system is around 0.6%. Similarly, we also see that the number of phase changes per minute is also much less. On average, there is a single phase change per minute. This indicates that each



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	SPEC		NPB		Sirius	
	Overhead	Phase changes	Overhead	Phase changes	Overhead	Phase changes
	(%)	(per min)	(%)	(per min)	(%)	(per min)
main-app	0.65	1.58	0.35	0.38	0.25	0.20
colo-app	0.74	0.91	0.45	0.75	0.44	0.80

Table 5. Execution Time Overhead and Number of Phase Changes

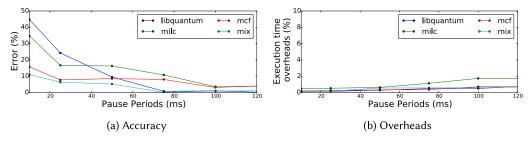


Fig. 7. Accuracy and overheads for Caliper under different pause periods.

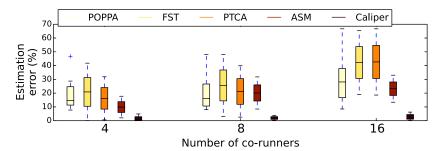


Fig. 8. Estimation error: *Caliper* vs. state-of-the-art software (*POPPA* [16]) and hardware (FST [23], PTCA [22], ASM [58]) techniques for estimating interference.

application is paused for a few hundred milliseconds every minute, making the overhead extremely negligible.

Sensitivity of the pause period. Towards obtaining an optimal pause period for operating Caliper, we performed a sensitivity study. The results of this study are shown in Figure 7. From Figures 7(a) and 7(b), we clearly observe two trends. First, the accuracy of estimating slowdown increases as the pause periods increase up to 75ms. Then there is no benefit in increasing the pause periods. Hence, we have utilized 75ms as an optimal pause period for our mechanism. Second, the overheads do not change drastically as we increase the pause periods. This is because Caliper's frequency at which it pauses the co-runners is too low, causing negligible impact in the execution time overheads.

## 6.3 Comparison with Prior Work

**Accuracy.** Figure 8 shows the accuracy of Caliper as compared to the accuracy of POPPA [16], FST [23], PTCA [22], and ASM [58] for the benchmarks present in SPEC CPU 2006, NPB, Sirius suite, and Djinn&Tonic suite. POPPA works by periodically pausing all co-running applications except one for a very short time at fixed time intervals. The aggregated performance of the



applications during the pause periods is the key measure by which slowdown is estimated. Through these experiments, we can see that the estimation error is much lower for Caliper than POPPA [16]. The mean error of POPPA is 13.23%; however, our technique shows much lower error rates, averaging around 3.77%.

It is challenging to estimate the slowdown when running with contentious co-runners, as they quickly pollute the shared last-level cache and excessively use the shared memory bandwidth. One of the main reasons for POPPA's poor accuracy is that the pausing time (3.2ms) is too short to capture solo performance of an application. This is because the shared cache would not be warmed up to contain the entire working set of the application that is to be measured. As a result, the measured application would spend most of its pausing time filling in the shared cache, giving much less time to observe how the application performs when it monopolizes computing resources. However, Caliper performs micro-experiments that pause co-runners only when discovering phase boundaries. This enables us to observe the solo execution performance for a longer time without worrying much about the overhead caused due to pausing for additional time. Hence, we are able to achieve high accuracy in estimating slowdown at runtime.

We also observed that the state-of-the-art hardware-enabled approaches towards estimating slowdown [22, 23, 58] showed a high error rate. Just like the other software approaches, state-of-the-art hardware-enabled approaches utilize cache access rates of applications during solo execution time to determine slowdown of an application. Cache access rates of applications during solo execution is again obtained by periodically pausing co-running applications in a round-robin fashion. Hence, the limitations of the prior software approaches hold well for the hardware approaches, too. The mean errors of POPPA, FST, PTCA, and ASM are 11.04%, 28.28%, 38.42%, and 9.98%, respectively. From these results, we were able to see that Caliper can outperform even the state-of-the-art hardware-enabled approaches present in the literature.

Multi-tenancy. To evaluate the effectiveness of the state-of-the-art hardware or software-based approaches and Caliper towards supporting multiple tenants, we increase the number of executing application contexts to 8 applications and 16 applications. Figure 8 shows the average accuracy of Caliper as compared to POPPA for SPEC CPU2006 and NPB when co-locating with libquantum. We can see that Caliper's accuracy is around 3.95% when co-locating with 16 applications in contrast to POPPA [16], FST [23], PTCA [22], and ASM [58], whose error is around 22%, 40%, 41%, and 19%, respectively. The low accuracy of the prior techniques occurs because, as the number of co-runners increases, the shared cache becomes much more polluted due to the contention. POPPA even in such situations pauses for the same amount of time, which is too little for the shared last-level cache to warm up to exhibit the performance corresponding to solo execution. Hence, its slow-down estimation becomes highly inaccurate. Similarly, hardware techniques perform sampling in a round-robin fashion using their proposed specialized hardware whose pressure increases as the number of co-running applications increases. However, Caliper utilizes a phase-aware approach that performs micro-experiments at adequate amounts of time during the right time to capture the solo execution characteristics of every phase accurately.

**Phase analysis.** Now, we try to visualize the effectiveness at which Caliper utilizes its robust phase-detection technique to achieve high accuracy and low overhead in estimating slowdown. Toward illustrating this, we analyze the phase-level behavior of a selected set of applications that exhibit a lot of phase changes, to show Caliper's capability towards performing micro-experiments at every single phase change.

First, we select two applications, mcf and milc, to analyze the execution behaviors. These applications possess a significant number of phase changes. As co-runners, we use libquantum and mcf, respectively. Figure 9(a) shows the execution behavior of mcf with respect to time. In each



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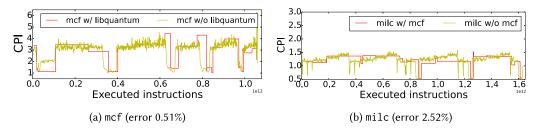


Fig. 9. Phase-level behavior of Caliper for mcf and milc when running with co-runners, 3 libquantum (a) and mcf (b), respectively. Micro-experiments are triggered effectively at phase boundaries.

milc (4) gobmk(1) hmmer(1) perbench(1) astar(7) namd(1) pos(1) chk(1) calculix(118) dealII(132) libquantum 0 0 257 0 2 3 mcf 6 0 1 1 1 412 49 milc 5 0 0 2 0 0 0 353 1 33 2 0 0 mix 1 1 251

Table 6. Number of False Positives Incurred in Caliper Runtime System

For each benchmark, the number of endogenous phases of the solo-run is represented in parentheses; e.g., milc (8) means that milc has 8 endogenous phases. First column contains co-runners.

graph, the yellow line depicts the measured CPI of the application when running alone, and the red line shows the CPI estimated by Caliper when the application is running with three instances of libquantum or mcf. We can see that Caliper can effectively trace the phase changes. The closer the red line is to the yellow line, the smaller the error. The error in estimating slowdown is 0.51% over the entire run. For milc, Figure 9(b) presents that our technique can effectively trace all of its phase. The error while estimating slowdown is 2.52%.

Second, we evaluate how many false positives are incurred by our technique. Table 6 illustrates the number of falsely detected phase changes by Caliper. The first row shows the benchmarks for which we have evaluated this experiment. We have shown only ten benchmarks in this table in the interest of space constraints. The numbers present in the bracket after the benchmarks show the endogenous phase counts (true positives) when running alone. The first column shows the co-runners along which the benchmarks present in the first row have been evaluated. From our experiments, we observed that the results for most of the benchmarks were similar to gobmk, hmmer, namd, pos, and chk. There was just one phase, and Caliper was able to detect that phase. Additionally, detecting false phases was a rare occurrence consuming negligible overheads. However, we had a few interesting observations for the benchmarks calculix and dealII. The phases of these applications are very irregular and contain spikes once every few seconds. Each of these situations where spikes occur triggers a phase change resulting in a larger number of false positives. Another interesting observation from our experiments was that there were more false positives when mcf was a co-runner. This is because mcf has many phase changes, introducing many more false positives due to co-phase interference. However, the frequency at which Caliper's runtime system triggers phase changes is so low that our overhead remains less than 1% for most of the time.

**Overhead.** Figure 10 compares the overhead of up to 16 application contexts for Caliper and the state-of-the-art software approach POPPA. We can clearly see that as the number of application context increases, the overhead of Caliper increases negligibly. However, this is not the case for other software approaches. This is due to the fact that POPPA performs periodic pauses. As more applications are co-located, the effective time for which applications are paused increases,



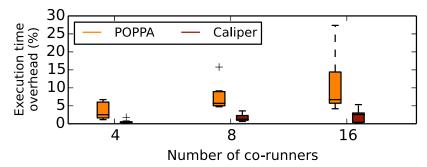


Fig. 10. Overhead: Caliper vs. POPPA.

as POPPA needs to pause every application for the same amount of time for each of the co-runners. However, Caliper pauses applications only during phase changes (which are comparatively infrequent). Hence, the overhead incurred by Caliper's runtime system is less by an order of magnitude.

Figure 11 illustrates the reasons behind POPPA's higher execution time overhead. Figure 11(a) compares the performance of POPPA and Caliper in an environment without any slowdown estimation runtime system. We can clearly see that the increased execution time overhead of POPPA is due to the spikes present in CPI due to frequent pausing of co-runners periodically by POPPA to estimate slowdown. However, Caliper performs micro-experiments rarely (once every phase). Hence, there are no periodic spikes as seen in POPPA. Caliper's execution time overhead also is negligible.

We have experimentally verified the reasons for the increased overhead and it is clearly shown in Figures 11(b), 11(c), and 11(d), respectively. As POPPA performs periodic pauses, it incurs addition warmup overheads for the micro-architectural components present in the system. At the end of every pause period, the system refills the micro-architectural components (cache, branch target buffer, TLB, etc.) that would have been flushed during its pause period. This gets translated directly into increased execution time overhead.

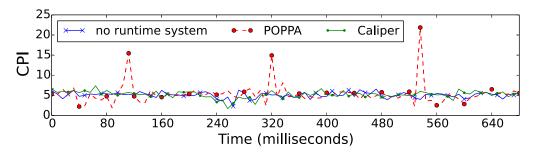
Figures 11(b), 11(c), and 11(d) illustrate the underlying causes for this phenomenon. From Figure 11(b), we can see that the cache misses increase whenever POPPA pauses co-runners in the system. However, it remains unaffected for Caliper reasoning out its negligible overhead. Similarly, from Figures 11(c) and 11(d), we can see that when POPPA frequently pauses applications, branch misses and TLB (transition look aside buffers) miss increases. This is along similar lines as micro-architectural components like branch target buffer (BTB)—TLBs are flushed out frequently during pausing by POPPA. We can see that frequent pauses by POPPA increase the cache misses, TLB misses, and branch misses by 11.6%, 5.7%, and 7%, respectively, thereby increasing the runtime overhead of the execution of an application up to 10.5%. However, Caliper's overhead, as well as misses at the micro-architectural structures, remains less than 0.5%.

## 6.4 Leveraging Caliper for Fair Pricing in Datacenters

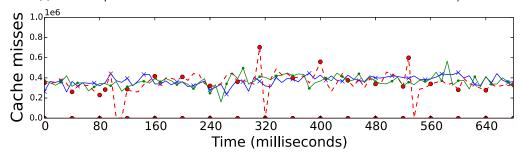
Infrastructure-as-a-service (IaaS) clouds primarily use a pay-as-you-go pricing model that charges users a flat hourly fee for running their applications on shared servers. Customers renting IaaS public clouds now have the capability to choose resource fragments at varying granularity in terms of the number of virtual CPUs, the amount of memory, and storage size. Cloud service providers rely on virtualization to isolate resource fragments belonging to each customer. However, in light of significant potential for parallelism, cloud service providers co-locate applications belonging to different users. Since the last-level cache and DRAM bandwidth remain shared among applications



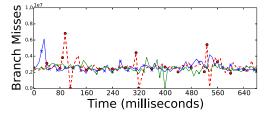
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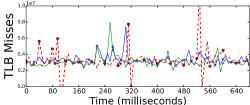


(a) Execution performance - 10.5% increase in execution time due to POPPA's runtime system



(b) Cache misses - 11.6% increase in cache misses due to POPPA's runtime system





(c) Branch misses – 5.7% increase in branch misses due to POPPA's runtime system.

(d) TLB misses – 7% increase in TLB misses due to POPPA's runtime system

Fig. 11. Performance of micro-architectural entities when POPPA's runtime systems are being executed.

running within a single server, applications are slowed down as compared to when they run alone on the system. This increased execution time that the application is subjected to reflects directly on the price paid by the users under the pay-as-you-go scheme, creating an unfair pricing scenario.

To enable fair pricing in public clouds, it is essential to estimate the performance impact that co-running applications have on an application. Identifying slowdown at runtime would be very useful information in this regard, as it would be an appropriate indicator of influence of co-runners on an application. Hence, such a scheme can be used as a critical substrate upon which any pricing scheme can be built. However, such a scheme is highly dependent on the accuracy at which fairness is estimated. Hence, achieving high accuracy in estimating slowdown becomes critical.

We compare the unfairness that is present while utilizing the hardware-enabled approaches for pricing with our approach. We define unfairness as the price by which users are overcharged when they are executing their applications in IaaS public clouds. We use the pricing model proposed by Toosi et al. [64] and apply the slowdown estimation techniques along with it to calculate the resultant price. From Figure 12, we can see that model is able to price applications with 5× more

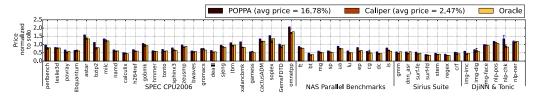


Fig. 12. Comparison of fairness in pricing by Caliper with POPPA.

fairness while pricing users using their slowdown model as compared to the POPPA technique proposed in Breslow et al. [16].

## 7 RELATED WORK

There have been many prior studies to detect performance interference in a variety of aspects of architectural resources. We look first into the hardware-enabled approaches and then address the prior work that utilizes system and OS-level approaches for detecting interference.

Hardware techniques: There are several approaches that try to estimate slowdown due to contention in shared caches, memory controller, and bandwidth. Nesbit et al. employed the network fair queuing model in the memory scheduler to meet the fairness [48]. Mutlu and Moscibroda focused on DRAM specific architectural features such as row buffers and DRAM banks [42]. They utilized memory scheduling techniques to ensure the fairness between multiple threads. Ebrahimi et al. extended the fairness problem in memory subsystems by including shared last-level cache and memory bandwidth [23]. This work focused on the source incurring performance interference and proposed a throttling mechanism by controlling injection rates of requests to alleviate the contention of shared resources. Suh et al. first discussed the cache-partitioning scheme to efficiently use the shared resources [59]. Qureshi et al. proposed utility-based cache partitioning technique to achieve high performance [53].

Software and systems approaches: There are many efforts introducing software frameworks and proposing new designs of operating systems [24, 38, 40, 47, 50, 61, 68]. O-Cloud measures the resource capacity for satisfying QoS in a dedicated server called as a staging server and then performs placement decisions based on choosing the right server that will be profitable to minimize interference [47]. To accurately estimate the performance interferences without profiling on a dedicated server, Bubble-Up [40] and Cuanta [24] designed the synthetic workloads to understand the degree of interference when co-locating applications. Meanwhile, Soares et al. studied the concept of a pollute buffer in shared last-level caches to prevent filling the shared caches as non-reusable data. Their work focused on improving the utilization of shared caches through OS-level page allocation [57]. Zhuravlev et al. extended the CPU scheduler to alleviate some of the interferences. The goal of this work is to schedule the threads by evenly distributing the load intensity to caches [71]. Blagodurov et al. proposed that the scheduler needs to consider the effects of NUMA [15]. Also, there are numerous prior studies to solve the contention problems, such as shared last-level cache and NUMA, by scheduling virtual machines [4, 39, 54]. Tuncer et al. utilizes a machine-learning approach to detect anomalies in HPC systems [65, 66]. They utilize the characteristics of applications that have executed before in the system to model performance anomalies. Zhang et al. identifies contentious application behavior by observing the application performance at runtime using CPI [69] for both latency-sensitive and batch applications running on datacenter. On the one hand, to detect application phases, there are several prior studies requiring compiler support [18, 20, 52, 56] and utilizing PMU measurements [30, 36]. Isci et al. characterized the two different approaches for performing live runtime phase



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analysis [31], which motivated us to design Caliper. On the other hand, those prior studies could not be directly applicable due to the lack of consideration of multi-tenant environments.

#### 8 CONCLUSIONS

In this article, we estimate slowdown of applications that are co-located in multi-core systems that contend for shared cache and main memory. Caliper accurately estimates slowdowns using a phase-aware micro-experiment approach that utilizes system software tools like Performance Monitoring Units. We demonstrate the superiority of Caliper as compared to the state-of-the-art hardware-enabled approaches. We conclude by illustrating the scenarios at which estimating interference at runtime would be useful by evaluating its applicability in one such scenario.

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